

# BRADEN M. PERRY, JD, CAMS

KENNYHERTZ PERRY, LLC 2000 Shawnee Mission Parkway, Ste. 210 Westwood Hills, KS 66205 Additional Offices in Kansas City, MO

# **Education:**

J.D., UNIVERSITY OF KANSAS SCHOOL OF LAW, Lawrence KS • Member, Kansas Journal of Law and Public Policy B.S., Journalism, KANSAS STATE UNIVERSITY, Manhattan, KS

### Licenses and Certificates:

Missouri Bar (Admitted 2002) Kansas Bar (Admitted 2003) Certified Anti-Money Laundering Specialist (CAMS) (2018-Present) Northeast Johnson County Chamber Business Leadership Certificate (2019) DCC Digital Currency Certification (2015) FINRA SIE – (2021) FINRA Series 7 – General Securities Registered Representative (Lapsed) NASAA Series 66 – Registered Agent and Investment Advisor (Lapsed) FINRA Series 24 – General Securities Principal (Lapsed)

# **Employment History:**

2011-Current Co-Founder/Partner, KENNYHERTZ PERRY, LLC, Westwood Hills, KS/Kansas City, MO

- Consults with clients throughout the United States in areas of government inquiries and investigations, litigation, internal investigations, enforcement matters, regulatory issues, and corporate transactions, specializing in complex, novel, and emerging financial issues.
- Focuses include commodities, derivatives, securities, financial institutions, the Foreign Corrupt Practices Act ("FCPA"), technology, cybersecurity, and compliance.
- Certified Anti-Money Laundering Specialist ("CAMS"), assisting clients in all areas of BSA/AML policies and procedures, including advising on government inquiries involving suspicious behavior.
- · Criminal Justice Act Panelist for the District of Kansas and the Western District of Missouri.
- · Missouri Coalition for the Right to Counsel Pro Bono participant.
- · Serves as an expert witness in complex regulatory and criminal matters.
- Engaged by the Office of the State Bank Commissioner of Kansas to review and revise long-standing policies and procedures for modernization and thoroughness from regulatory change (Kansas Public Bid Event ID 17300-EVT0005059).
- Engaged by the Kansas 911 Coordinating Council to represent its legal interests by participating in and intervening in state and federal proceedings before administrative agencies through appeal or original action, including reviewing all contracts and MOUs, preparing testimony, pleadings, and other documents, researching novel legal issues and providing the Council on various regulatory matters, and points of law, and assisting with proposed legislative bills (Kansas Public Bid Event ID 17300-EVT0006565).

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- Engaged by the Kansas Department of Health and Environment to represent its legal interests in federal bankruptcy proceedings (Kansas Public Bid Event ID 17300-EVT0006843).
- Selected as a Hearing Panelist for the Disciplinary and Ethics Commission of the Certified Financial Planner Board of Standards, Inc. ("CFP Board").
- · Selected to the Directors and Chief Risk Officers ("DCRO") Cyber Risk Governance Council.
- · Selected to the Association of Derivative Professionals ("NIBA") Advisory Group.
- Selected to the Mediation and Conciliation Network ("MCN") Panel of Expert Neutrals representing Kansas as Accredited Dispute Resolutions Professional (Mediator, Conciliator, Ombudsman, and Arbitrator).
- Frequent speaker on financial and regulatory issues and contributor to financial and legal publications.
- First-chaired trials in Federal Courts, Missouri Circuit Courts, and Kansas Chapter 60 and 61 courts, representing both plaintiffs and defendants in various financial, commercial, criminal, and collection matters.

# 2010-2011 Senior Vice President, Regulatory Affairs and Compliance/CCO, MARINER HOLDINGS, LLC, Leawood, KS

- Responsible for Broker-Dealer, multiple RIA, '40 Act, and hedge funds' compliance with policies and government/industry regulations, including identifying and assessing the compliance risks of the firms, implementing effective policies and procedures, including insider trading and related party transaction policies, and reviewing their continued adequacy and effectiveness.
- Prepared and reviewed various SEC and FINRA filings, including 13Fs, Form 3s, Form 5s, Rule 407 letters, Restricted Securities, and FINRA financial reports.
- Prepared and reviewed ADVs, Exempt Fund documentation, and Mutual Fund prospectuses for all asset managers.
- · Responsible for all regulatory interactions and customer complaints or inquiries.

# 2008-2010 Senior Trial Attorney, UNITED STATES COMMODITY FUTURES TRADING COMMISSION, Kansas City, MO

- Conducted investigations and litigation under the Commodity Exchange Act ("Act") and the rules and regulations adopted by the Commodity Futures Trading Commission ("CFTC") under the Act, including responsibility for all aspects of investigations of suspected violations, administrative proceedings, and civil actions in Federal district courts, including injunctive and subpoena actions.
- Investigative activities included taking testimony and the acquisition and analysis of documents and testimony relevant to suspected violations on both exchange and off-exchange markets.
- Litigation included preparing pleadings, including complaints and motions for statutory restraining orders, preliminary injunctions, and summary judgment and the conducting of hearings and trials.
- Served as a liaison to the Northern District of Illinois U.S. Attorney's Office and Federal Bureau of Investigation in a high-profile Forex fraud investigation.
- Named as Liaison to the Office of General Counsel and led a 4-person intra-agency task force charged with implementing new procedures for enforcement staff, focused on anticipated regulatory changes in the wake of financial reform.
- Member of an SEC, FERC, and CFTC inter-agency task force on document retention risks in government agencies and drafted guidance for multiple agencies on risks related to data preservation and FOIA issues.

# 2002-2008 Attorney, HUSCH BLACKWELL SANDERS, LLP, Kansas City, MO

- Member of the White Collar Criminal Defense, Government Compliance, Investigations, and Litigation, Commercial and Business Litigation, and Digital Discovery and Records Management practice groups.
- Represented clients in the prosecution and defense of complex business disputes in domestic and international jurisdictions, served as counsel to publicly and privately held companies undergoing both internal and external investigations, assisted clients in recognizing and avoiding the expanding risk of corporate criminal liability, and designing and implementing targeted corporate compliance programs.
- Specialized in identifying risks associated with highly-regulated business and proactively limit risks through advising and counseling on government regulations and drafting policies and procedures to comply

efficiently with the rules and regulations.

- Designed and implemented Sarbanes-Oxley and corporate governance policies and procedures for publicly traded companies, including policies and procedures for Foreign Corrupt Practices Act, financial reporting, executive compensation, and subsidiary monitoring compliance.
- First-chaired trials in Missouri Circuit Courts and Kansas Chapter 60 and 61 courts, representing both plaintiffs and defendants in various commercial, criminal, and collection matters.
- 2001-02 Lecturer, Political Science 480, EMPORIA STATE UNIVERSITY
- · Lectured survey course on legal concepts, including current topics in the practice of law.
- · Created original curriculum and testing materials, and responsible for all aspects of course management.
- 2001-02 Judicial Clerkship Clinic, THE HONORABLE JOHN W. LUNGSTRUM, United States District Court for the District of Kansas
- Participated in judiciary duties as a clerk for the United States District Court for the District of Kansas, including conducting legal research and drafting orders and opinions.
- Assisted judicial clerks with the process of federal sentencing guideline analysis, focusing primarily on narcotic sentencing.

#### **Recognitions:**

- The Power 30: White-Collar Defense, Missouri Lawyers Media, 2021
- 20 Best Litigation Attorneys in Kansas City, Expertise, 2021
- The Power 30: White-Collar Defense, Missouri Lawyers Media, 2020
- · National Trial Lawyers Top 100 Criminal Defense Lawyers in Kansas, 2020
- Inaugural Member, Kansas City Business Journal Leadership Trust, 2019
- 20 Best Litigation Attorneys in Kansas City, Expertise, 2018
- 20 Best Litigation Attorneys in Kansas City, Expertise, 2017
- Ones to Watch in 2017, Corporate Vision, 2016
- · 20 Best Litigation Attorneys in Kansas City, Expertise, 2016

#### **Expert Witness Engagements (Public Matters):**

- CFTC Legal Analysis OptionsSellers/Short Squeeze Event, *Tristani, Tarry, and Marshall v. OptionSellers.com and Cordier*, United States District Court for the Eastern District of Texas, Case No. 19cv-00585, on behalf of Plaintiffs Anthony Tristani, Radford Tarry, and Mark Marshall, 2019
- CFTC Legal Analysis Evan Dooly/MF Global Actions, *New Hampshire Insurance Company, et al., v. MF Global, Inc.*, Supreme Court of the State of New York, County of New York, Index No. 09601621, on behalf of Plaintiffs New Hampshire Insurance Company, Vigilant Insurance Company, Certain Underwriters of Lloyds of London Subscribing to Certificate No. B0576MMU280, St. Paul Fire & Marine Insurance Company, Fidelity & Deposit Company of Maryland, Continental Casualty Company, Liberty Mutual Insurance Company, Great American Insurance Company, and Axis Reinsurance Company, 2016

#### **Expert Consultations:**

- Analysis of Legacy Vivint Smart Home Disclosure of Subpoena in Connection with an Investigation by the U.S. Department of Justice on Potential Violations of the Financial Institutions Reform, Recovery and Enforcement Act and a Civil Investigative Demand from the Federal Trade Commission Potential Violations of the Fair Credit Reporting Act and the "Red Flags Rule," Coleman Research Group, Inc., 2020
- Analysis of Tiffany & Company/ LVMH Moët Hennessy-Louis Vuitton SE Legal Battle and MAC Clause, Coleman Research Group, Inc., 2020
- · Credit Acceptance Corp (CACC) Lawsuit Outlook, Gerson Lehrman Group, 2020
- Business and Legislative Impact of the Department of Justice and SEC Investigations into Excelon and its Lobbying Arm, Gerson Lehrman Group, 2020
- Potential Impact of Consumer Financial Protection Bureau Under a Democratic President, Gerson Lehrman Group, 2019

- · Impact to the Telecom Industry from the FTC v. Qualcomm Lawsuit, Coleman Research Group, Inc., 2019
- Mississippi Consumer Finance Cases: Credit Acceptance Corp. and Santander, Gerson Lehrman Group, 2019
- SEC's Investigation into Rounded-Up Quarterly Earnings and the Effect on Stock Prices, Coleman Research Group, Inc. 2019
- · CFTC Probe of Glencore for Corruption Practices, Gerson Lehrman Group, 2019
- · Regulatory Landscape Overview of U.S. Exchanges, Gerson Lehrman Group, 2018
- · Impact of Symantec Internal Audit Committee Investigation into Transition and Transformation Expense Reporting, Coleman Research Group, Inc., 2018
- · Foreign Corrupt Practices Act Updates and Certain Telecom Practices, Coleman Research Group, Inc., 2017
- Material Adverse Clauses and the cybersecurity breach revelations in the Yahoo!/Verizon Purchase Agreement, Coleman Research Group, Inc., 2017
- · U.S. Regulations on Liquidation of Broker-Dealer Relationships, Gerson Lehrman Group, 2016
- · Branch-Based Consumer Lenders and Pending CFPB Rulemaking, Gerson Lehrman Group, 2016
- · High-Frequency Trading (Futures Market) Overview, Gerson Lehrman Group, 2015
- "Two and Twenty" Fee Structure Review in Light of New Regulations, Gerson Lehrman Group, 2015
- · Implementation of Rules and Regulations that Fall Under CFTC Authority, Gerson Lehrman Group, 2014
- · Regulatory Environment for High-Speed Trading and Financial Exchanges, Gerson Lehrman Group, 2014
- · CFTC Policy and Rulemaking, Gerson Lehrman Group, 2013

#### **Published Writings:**

"Ransomware During COVID and the Need for Proactive Cyber Hygiene, NIBA,

https://www.theniba.com/ransomware-during-covid-and-the-need-for-proactive-cyber-hygiene/, 2020

"PPP Fraud in the Billions: Loans Over \$2 Million Subject to Scrutiny," Kansas City Business Journal, https://www.bizjournals.com/kansascity/news/2020/09/28/ppp-loans-over-2-million-subject-to-scrutiny.html,

2020

"CFTC's Silence Stings in Wheat Futures Manipulation Case," Law360,

https://www.law360.com/assetmanagement/articles/1190458/cftc-s-silence-stings-in-wheat-futures-manipulationcase, 2019

"How to Become Successful in Compliance," ComplianceCrossing.com,

http://www.compliancecrossing.com/article/900016647/How-to-Become-Successful-in-Compliance/), 2013

"Are Contracts Made to be Broken," *LawTV.com*, <u>http://news.thelaw.tv/2013/07/19/are-contracts-really-made-to-be-broken/</u>, 2013

"Doing Time: White-Collar Sentencing Has Varied Since 2005 Ruling," Kansas City Business Magazine, Feb. 2008

#### **Professional Speaking Engagements:**

"Smart Contact and Regulatory Developments in Blockchain," Featured Speaker, Blockchain KC Meetup, Virtual, 2020

"Regulatory and Business Struggles of Introducing Brokers," Featured Speaker, Association for Derivative Professionals ("NIBA"), Chicago, IL, 2019

"Harnessing the Technology Landscape," ISG Digital Business Summit, Clearwater Beach, FL, 2019

"Law Talk: Bitcoin – What Lawyers Need to Know," Missouri Bar/Missouri Judicial Conference Annual Meeting, Branson, MO, 2019

"Legal Ethics: Top Challenges. Confidentiality and Data Security," National Business Institute, Overland Park, KS/Wichita, KS, 2019

"Up in Smoke, Credit Unions and Cannabis, Part 1," CU2.0 Podcast, 2019

"Virtual Currency: The Evolving Bankruptcy Issues," ABI Talks, Midwestern Bankruptcy Institute, Kansas City, MO, 2018

"Cryptocurrencies: Evolving IRS, CFTC, SEC and DOJ Legal and Regulatory Issues," Bench-Bar & Boardroom Conference, Branson, MO, 2018

"Gun Law in Kansas," National Business Institute, Overland Park, KS, 2017

"Legalized Marijuana Law," National Business Institute, Overland Park, KS, 2017

"State and Federal Firearms Laws: What Attorneys Need to Know," National Business Institute, Kansas City, MO, 2017

"Firearms Dealers and Licensing Requirements," National Business Institute, Kansas City, MO 2017 "How to Obtain a Federal Firearms License," National Business Institute, Kansas City, MO 2017

"The Evolving Legal and Regulatory Issues with Cryptocurrency," Nebraska Attorney General's Office Staff and Liaison Agency Training, Lincoln, NE 2016

"Who Are the Potential Users of Digital Currencies, Distributed Ledgers and the Blockchain?" Conference of Western Attorneys General, Deer Valley, UT 2016

"Foreign Corrupt Practices Act ("FCPA") Investigation Cooperation: Avoiding Common Corporate Mistake," Knowledge Congress Live Webcast Series, 2016

"Digital Currencies: What are They and How Do They Impact Law Enforcement," Conference of Western Attorneys General, Deer Valley, UT 2016

"CFTC Proposed Rule for the Cross-Border Application of the Margin Requirements," Knowledge Congress Live Webcast Series, 2016

"Kraft vs. CFTC," McGraw Hill Financial Global Institute Podcast, <u>https://www.mhfi.com/about/Global-Institute/white-papers/Kraft-vs-the-CFTC.html</u>, 2015

"CFTC's Financial Market Reform in 2015: Boon or Bane?," Knowledge Congress Live Webcast Series, 2015

"CFTC Levels a Charge of 'Spoofing.' What Does it Mean for Trading," McGraw Hill Financial Global Institute Podcast, <u>https://www.mhfi.com/mhfi-global-institute/institute-insight-podcasts/CFTC-Levels-a-Charge-of-Spoofing-What-Does-It-Mean-For-Trading.html</u>

"Analyzing the Current Swaps Marketplace as Regulators Look Poised to Ensure Anonymity for Traders," Coleman Research Group, 2015

"Peer to Peer: Innovation and Regulation," Lend360, 2014

"The Rise (and Fall?) of Bitcoin: The Evolving Legal and Regulatory Issues with Cryptocurrency," Kansas Attorney General Annual CLE, 2014

"Reputational Risk and Your Bank: Creating a Compliance Plan to Conform to Scrutiny," OLA, 2014 "Reg S-ID: Identity Theft Red Flag Rules," Kansas City Compliance Group, 2013

"FINRA Rules for Communications with the Public," Kansas City Compliance Group, 2013

"The Foreign Corrupt Practices Act: Prevention, Defense, and its Impact on Business," KCMBA CLE,

2012

"MF Global: Legal Consequences of its Collapse," KCMBA Securities Committee Seminar, 2012 "Electronic Discovery, an Attorney's Point of View," KPA & WPA Seminar, 2007

#### In the News:

"US Justice Department to Launch National Cryptocurrency Enforcement Team," <u>US Justice</u> <u>Department To Launch National Cryptocurrency Enforcement Team - Blockworks</u>, 2021

"Fantasy Startup Investing NFT Marketplace Shutdown a Day into Beta Launch; Here's Why," <u>Fantasy</u> <u>Startup Investing NFT Marketplace Shutdown a Day into Beta Launch; Here's Why - Blockworks</u>, 2021

"Phasing Out Rate-Setting LIBOR Could Bring Headaches to Lenders, Borrowers," <u>Phasing out LIBOR</u> <u>may force banks, borrowers to renegotiation loan contracts - Kansas City Business Journal</u> (biziournals.com), 2021

"BitMEX Agrees to Settlement UP to \$100 M with US CFTC and FinCEN," <u>BitMEX Agrees to</u> Settlement Up to \$100M with US CFTC and FinCEN - Blockworks, 2021

"Forex Brokers: Are They a Scam?" Forex Brokers: Are They a Scam? (yahoo.com), 2021

"Goldman Sachs Reportedly Exploring Ether Options," <u>Goldman Sachs Reportedly Exploring Ether</u> Options - Blockworks, 2021

"Bitstamp is Giving Crypto Wings with US Expansion," <u>Bitstamp is Giving Crypto Wings with US</u> Expansion - Blockworks, 2021 "Coinbase Enables Tether Stablecoin Trading for All Users," <u>Coinbase Enables Tether Stablecoin</u> <u>Trading for All Users - Blockworks</u>, 2021

"How Regulation Z Protects You From Predatory Lending Practices," <u>What is Regulation Z?</u> <u>NextAdvisor with TIME</u>, 2021

"In a World of Tokens, Who Needs COIN?" <u>In a World of Tokens, Who Needs COIN? - Blockworks</u>, 2021

"Will Crypto Be Regulated? The BitMex Case Could Bring Laws to Bitcoin," <u>Will Crypto Be</u> <u>Regulated? The BitMEX Case Could Bring Laws to Bitcoin - Bloomberg</u>, 2021

"Citi Lawyer Cites Mystery Bank He Says Made Even Bigger Flub," <u>Citi Lawyer Cites Mystery Bank</u> <u>He Says Made Even Bigger Flub - Bloomberg</u>, 2021

"Feds Debate While States Act on Data Privacy Laws," <u>Feds debate while states act on data privacy</u> <u>laws (techtarget.com)</u>, 2021

"Future of KYC Compliance – Insights from Braden Perry, <u>The Future of KYC Compliance –</u> Insights from Braden Perry (kyckr.com), 2021

"Citi Faces 'Finders Keepers' in Fighting \$500 Million Ruling," <u>Citi Faces 'Finders Keepers' in</u> Fighting \$500 Million Ruling - Bloomberg, 2021

"Tether's Settlement with the NYAG a 'Positive' for Industry," <u>Tether's Settlement With the</u> <u>NYAG a 'Positive' For Industry - Blockworks</u>, 2021

"Cryptocurrency Tips and Tricks – 2021," <u>Cryptocurrency Tips and Tricks-2021 | by SOMESH | Feb.</u> 2021 | Medium, 2021

"What Debt Collectors Can and Can't Do," <u>What Debt Collectors Can and Can't Do (opploans.com)</u>, 2021

"FTC's Crackdown Against CBD Market Stirs Mixed Feelings Among Cannabis Pros," <u>FTC's</u> <u>Crackdown Against CBD Market Stirs Mixed Feelings Among Cannabis Pros || BCN - Benzinga</u> <u>Cannabis Network</u>, 2021

"Citi Trial Puts Banks on Notice to Ensure They're Not Next," <u>Citi Trial Puts Banks on Notice to</u> Ensure They're Not Next - Bloomberg, 2020

"After BitMEX, Will CFTC Target More Cryptocurrency Exchanges and DeFi?" <u>https://forkast.news/will-cftc-charge-more-cryptocurrency-exchanges-andefi/</u>, 2020

"Graffiti Artists Just Won Millions Against a Property Owner. How to Ensure it Doesn't Happen to You," <u>https://www.inman.com/2020/10/13/graffiti-artists-just-won-millions-against-a-property-owner-how-to-ensure-it-doesnt-happen-to-you/</u>, 2020

"Hemp Businesses Look to Blockchain to Provide Transparency to Customers,"

https://hempindustrydaily.com/hemp-businesses-look-to-blockchain-to-provide-transparency-to-customers/, 2020 "Want \$400 From Facebook," https://digitalprivacy.news/2020/10/20/want-400-from-facebook/, 2020

"The 'FinCEN Files,' the World's Latest Banking Corruption Scandal, Explained,"

https://themilsource.com/2020/09/24/the-fincen-files-the-worlds-latest-banking-corruption-scandal-explained/, 2020

"Blockchain Provides Legal High for Cannabis Users," <u>Blockchain Provides Legal High for Cannabis</u> <u>Users - BeInCrypto</u>, 2020

"4 Questions as Nikola Navigates Gov't Probes, Investor Suits,"

https://www.law360.com/articles/1312423/4-questions-as-nikola-navigates-gov-t-probes-investor-suits, 2020

"CARES Act Fraud Not Easy to Define," <u>https://www.legalexaminer.news/2020/09/cares-act-fraud-not-easy-to-define/</u>, 2020

"Leaked Suspicious Activity Reports Show 'Massive Failure' of Banks, Regulators," https://fastinform.com/leaked-suspicious-activity-reports-show-massive-failure-of-banks-regulators/, 2020

"'Unjust Enrichment' and Citi's \$900 Million Error," <u>https://www.bloomberg.com/news/articles/2020-09-15/-unjust-enrichment-and-citi-s-900-million-error-quicktake</u>, 2020

Why Banks Block Cryptocurrency Transactions," <u>https://decrypt.co/39226/banks-still-blocking-crypto-transactions</u>, 2020

"Scotiabank Feels the Wrath of a Regulator Scorned,"

https://www.law360.com/banking/articles/1305125/scotiabank-feels-the-wrath-of-a-regulator-scorned, 2020 "Family Ties Bind Bank Behind \$50M Taxpayer-backed Coronavirus Loan to Poconos Casino,"

https://www.inquirer.com/business/main-street-loan-insider-denaples-mount-airy-federal-reserve-coronavirus-20200831.html, 2020

"Tech and Financial Firms Delaying Office Returns into 2021," <u>https://matrixwarehouse.co.za/tech-and-finance-firms-delaying-office-returns-into-2021/</u>, 2020

"How a PR Error Might Absolve Kodak of Insider Trading Charges,"

https://www.forbes.com/sites/chriscarosa/2020/08/16/how-a-pr-error-might-absolve-kodak-of-insider-trading-charges/#20e170f45919, 2020

"TikTok Users Discover the Secret to Getting Rich: Dogecoin," <u>https://gizmodo.com/tiktok-users-discover-the-secret-to-getting-rich-dogec-1844294679</u>, 2020

"The \$2.6B Escobar vs. Apple Lawsuit – Here's What the Experts Have to Say,"

https://thenextweb.com/plugged/2020/06/25/escobar-vs-apple-lawsuit-court-case-experts-analysis/, 2020

"Forex Brokers: Are they a Scam?" <u>https://finance.yahoo.com/news/forex-brokers-scam-162736808.html</u>, 2020

"52 Key Cybersecurity Tips: Your Playbook for Unrivaled Security," https://www.varonis.com/blog/cybersecurity-tips/, 2020

"Proactive vs. Reactive Cybersecurity – Expert Opinions," <u>https://www.vpnranks.com/blog/proactive-vs-</u> reactive-cybersecurity-expert-opinions/, 2020

"Corona-Related Scams: How to Avoid Them?" <u>https://hackernoon.com/coronavirus-related-scams-how-to-avoid-them-2e1034wr</u>, 2020

"Most Effective Cybersecurity Strategy for Small Business [We Asked 45+ Experts]," https://secureblitz.com/effective-cybersecurity-strategy-for-a-small-business/, 2020

"KC experts share tips on navigating PPP loan compliance as rules keep morphing," <u>https://www.bizjournals.com/kansascity/news/2020/05/13/sba-paycheck-protection-program-loan-compliance.html?iana=hpmvp\_kan\_news\_headline</u>, 2020

"COVID-19 Impact on Cannabis,"

https://www.hortibiz.com/news/?tx\_news\_pi1%5Bnews%5D=34148&cHash=d8ffee5e6ebc1be284f5a09cceb04b 22, 2020

"The Complete Guide to Crisis Management for Every Business," <u>https://www.beekeeper.io/blog/what-is-crisis-management/</u>, 2020

"Is Cannabis Ready for Blockchain," <u>https://www.cannabisdispensarymag.com/article/is-cannabis-ready-for-blockchain-tracking-traceability/</u>, 2020

"Businesses beware: Financial fraud is on the rise,"

https://www.bizjournals.com/kansascity/news/2020/04/13/businesses-beware-financial-fraud-is-on-the-rise.html, 2020

"What is a Force Majeure Event?" <u>https://www.legalzoom.com/articles/what-is-a-force-majeure-event</u> 2020

"Be Knowledgeable," <u>https://thriveglobal.com/stories/innovation-with-braden-perry-and-len-giancola/</u>, 2020

"Key Cybersecurity Tips: Your Playbook for Unrivaled Security," <u>https://www.varonis.com/blog/cybersecurity-tips/</u>, 2020

"New Privacy Bill Would Add 440 FTC Employees,

https://www.washingtonexaminer.com/policy/technology/new-privacy-bill-would-add-440-ftc-employees, 2020 "Feds Justify Ditching Mueller Case, But Questions Remain,"

https://www.law360.com/articles/1254807/feds-justify-ditching-mueller-case-but-questions-remain, 2020

"Six Things You Should Know About Using an Expert Witness," <u>https://glg.it/articles/six-things-you-should-know-about-using-an-expert-witness/</u>, 2020

"AI Regulation: Has the Time Arrived?" <u>https://www.informationweek.com/big-data/ai-machine-learning/ai-regulation-has-the-time-arrived/a/d-id/1337099</u>, 2020

"19 Secrets to Steal from People Who Never Get Hacked," <u>https://www.rd.com/advice/secrets-from-people-who-never-got-hacked/</u>, 2020

"Starting a Weed Business: What are Your Financing Options? <u>https://www.fundera.com/blog/weed-business-financing-options</u>, 2020

"Understanding Binary Options," <u>https://www.magnifymoney.com/blog/investing/binary-options</u>, 2020 "Columbia Care's Credit Card Could Impact How New Yorkers, Others Pay for Pot,"

https://www.marijuanatimes.org/columbia-cares-credit-card-could-impact-how-new-yorkers-others-pay-for-pot/, 2020

"Why Won't a Bank Let Me Open a Bank Account," <u>https://money.usnews.com/banking/articles/why-wont-a-bank-let-me-open-an-account</u>, 2020

"Telegram's Legal Battle With the SEC Heats Up Over TON Bank Records,"

https://cointelegraph.com/news/telegrams-legal-battle-with-the-sec-heats-up-over-ton-bank-records, 2020 "Facebook and Regulators Likely to Clash Over New Data Privacy Rules in California,"

https://www.thestreet.com/investing/facebook-regulators-clash-california-privacy-rules, 2020

"Better Safe Than Hacked? Google and Apple Flip-Flop on Crypto,

https://cointelegraph.com/news/better-safe-than-hacked-google-and-apple-flip-flop-on-crypto, 2020 "Is it Legal for a Teacher to Livestream a Classroom," https://www.parents.com/news/is-it-legal-for-ateacher-to-livestream-a-classroom/, 2020

"New 'DNA of Things' Method Stores Digital Memory in Common Objects," https://www.technewsworld.com/story/86407.html, 2019

"Lawyer: Feds Clear Path for Hemp Business Banking, but Difficulties Remain," <u>https://www.bizjournals.com/kansascity/news/2019/12/06/industrial-hemp-industry-federal-banking-</u>rules.html, 2019

"Have the SEC's Telegram & Kik Cases Brought Clarity to Crypto?" <u>https://www.financemagnates.com/cryptocurrency/news/have-the-secs-telegram-kik-cases-brought-clarity-to-crypto/</u>, 2019

"Facebook Libra Vs. Data Privacy," <u>https://fintechzoom.com/fintech-</u> news/uncategorized/facebook-libra-vs-data-privacy, 2019

"Binary Options Scams Spread Into Crypto, Time for US Lawmakers to Act," https://cointelegraph.com/news/binary-options-scams-spread-into-crypto-time-for-us-lawmakers-to-act, 2019

"FTC Targets Fake Influencer Metrics, Fake Reviews, https://www.ecommercetimes.com/story/86313.html, 2019

"Top Security Tips for Entrepreneurs," <u>https://www.carolroth.com/blog/top-security-tips-for-</u> entrepreneurs/, 2019

"Department of Homeland Security Wants Subpoena Power to Find Vulnerable Systems, <u>https://www.washingtonexaminer.com/news/department-of-homeland-security-wants-subpoena-power-to-find-vulnerable-systems</u>, 2019

"After Libra Exits, Facebook Faces an Uphill Battle in Restoring Credibility, <u>https://www.thestreet.com/investing/stocks/after-libra-exits-facebook-faces-an-uphill-battle-in-restoring-credibility-15128014</u>, 2019

"How Severe is Roche Freedman's Lawsuit Against Tether and Bitfinex?"

https://cointelegraph.com/news/how-severe-is-roche-freedmans-lawsuit-against-tether-and-bitfinex, 2019 "Tomorrow's Attacks Today: How to Defend Against Next-Generation Cyberattacks,

https://www.scmagazine.com/home/security-news/tomorrows-attacks-today-how-to-defend-against-next-generation-cyberattacks/, 2019

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# **Civic Involvement:**

- · 2019 Leadership NEJC
- 2018 Present, Appointed by Jeff Colyer, Governor of Kansas, as a member of the Kansas State Board of Indigents' Defense Services ("BIDS"), pursuant to K.S.A. 22-4519
- · 2018 Present, Belinder Elementary PTA Legislative Liaison
- 2017 Present, Northeast Johnson County Chamber of Commerce Board Member Emeritus and General Counsel

- · 2016 Leadership Kansas
- · 2015 Present Member and Chair (2018-Present) of the City Council, City of Mission Hills, KS
- · 2014 Discover Children's Mercy (Spring Session)
- · 2014 Citizen's Police Academy, City of Prairie Village, KS
- · 2013 Present, 2017 Recording Secretary, Board Member, Kansas City Securities Association
- · 2013 2017, 2016 Chair, Board Member, Family Advisory Board, Children's Mercy Hospital
- · 2011 2017, Director, Tomahawk Road Homes Association
- · 2009 2015, Member and Chair (2014-2015) of the City of Mission Hills, KS, Board of Zoning Appeals
- 2007 2015, Member and Chair (2013-2015) of the City of Mission Hills, KS, Crime Prevention and Safety Committee

#### **Charitable Involvement:**

- · 2018 Present, 2018-2019 Co-Chair of Cook for Courage, Child Protection Center
- · 2016 2017, Board Member, Victory Project
- · 2013 2017, 2016 Chair, Board Member, Family Advisory Board, Children's Mercy Hospital
- 2011 2017, Board Member, 2015 Co-Chair of the Hands & Hearts for Children Auxiliary, Children's Mercy Hospital